

Anita K. Krug  
University of Washington School of Law  
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## ACADEMIC EXPERIENCE

UNIVERSITY OF WASHINGTON SCHOOL OF LAW, Seattle, WA.

*Assistant Professor.* Teach courses on mergers & acquisitions, business associations, and securities regulation. Research and write about securities regulation and commodity futures regulation, particularly in the context of investment advisers and private and public investment funds. *Aug. 2010 – present.*

BERKELEY CENTER FOR LAW, BUSINESS AND THE ECONOMY, University of California, Berkeley School of Law, Berkeley, CA.

*Research Fellow, Law and Finance.* Researched and wrote about regulatory developments relating to investment advisers, hedge funds and other private funds, registered investment companies, investor protection, and systemic risk mitigation. *Jan. 2009 – Mar. 2010.*

*Lecturer.* Created and taught course on U.S. law and regulation relating to hedge funds (and other private investment funds) and hedge fund managers. *Fall 2008; Spring 2010.*

UNIVERSITY OF CALIFORNIA, HASTINGS COLLEGE OF THE LAW, San Francisco, CA.

*Practitioner in Residence.* Researched and wrote about the origins of laws and regulations governing investment advisers and investment companies, primarily the Investment Advisers Act of 1940 and the Investment Company Act of 1940. *2009.*

*Adjunct Professor.* Taught original course on U.S. law and regulation relating to hedge funds and hedge fund managers. *Fall 2009.*

HARVARD UNIVERSITY, Cambridge, MA.

*Teaching Fellow.* Taught courses on political theory and constitutional interpretation. *Fall 1995 – Fall 1996.*

*Senior Thesis Advisor.* Advised four seniors in the Government Department. *Fall 1998 – Spring 2000.*

## EDUCATION

PH.D., HARVARD UNIVERSITY, Political Science, June 2000

*Major Field:* Political Theory; *Minor Fields:* American Politics, Comparative Politics.

*Dissertation:* “Groups, Rights, and Liberalism: Historical Insights in a Contemporary Context.”

J.D., HARVARD LAW SCHOOL, *cum laude*, June 1997

*Harvard Law Review*, Articles Editor.

A.M., HARVARD UNIVERSITY, Political Science, November 1996

UNIVERSITY OF COLOGNE, Cologne, Germany.

Fulbright Scholar, 1991 – 1992

EDUCATION, cont.

B.A., KANSAS STATE UNIVERSITY, Manhattan, KS.

Political Science and Economics, *summa cum laude*, May 1991

JUSTUS LIEBIG UNIVERSITY, Giessen, Germany, 1989 – 1990

PUBLICATIONS

Anita K. Krug, *Institutionalization, Investment Adviser Regulation, and the Hedge Fund Problem*, 63 HASTINGS L.J. 1 (2011).

Anita K. Krug, *Moving Beyond the Clamor for “Hedge Fund Regulation”: A Reconsideration of “Client” under the Investment Advisers Act of 1940*, 55 VILL. L. REV. 661 (2010) (symposium).

Anita K. Krug, *The Private Fund Adviser Registration Act*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Nov. 2009).

Anita K. Krug, *Financial Regulatory Reform and Private Funds*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, July 13, 2009).

Anita K. Krug, *Regulatory Response to Madoff*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Mar. 2009).

Anita K. Krug, *Hedge Fund Transparency Act of 2009*, Berkeley Center for Law, Business and the Economy, University of California, Berkeley School of Law (White Paper, Feb. 2009).

Anita K. Krug, *Bear Pair*, THE DEAL, Aug. 8, 2008.

Anita K. Krug, *Come Together: The Convergence of the Business Missions of PE and Hedge Funds*, 18 THE DAILY DEAL, Dec. 17, 2007, at 13.

Peter M. Rosenblum & Anita K. Krug, *A Brief Outline of Certain Current Developments Affecting Managers of Hedge Funds* (American Law Institute | American Bar Association Course Materials), Oct. 2007.

William F. Lee & Anita K. Krug, *Still Adjusting to Markman: A Prescription for the Timing of Claim Construction Hearings*, 13 HARV. J.L. & TECH. 55 (1999), reprinted in 2001 INTELL. PROP. L. REV. (2002).

William F. Lee, James C. Burling & Anita K. Krug, *The Antitrust Duty to Deal and Intellectual Property Rights*, 24 J. CORP. L. 527 (1999).

Note, *The Myth of Context in Politics and Law*, 110 HARV. L. REV. 1292 (1997).

Recent Legislation, *Florida Private Property Rights Protection Act*, 109 HARV. L. REV. 542 (1995).

David M. Lindley & Anita K. Krug, *Emerging Issues in CFTC Regulation*, FIN. DERIVATIVES & RISK MGMT., Nov. 1995, at 93.

Anita Krug, Book Note, *Human Rights in the World Community: Issues and Action*, 6 HARV. HUM. RTS. J. 272 (1993).

SELECTED PANELS AND PRESENTATIONS

*Seminar on the Economic Analysis of Law*, Guest Presenter, University of British Columbia Faculty of Law, Vancouver, Canada, Jan. 16, 2012.

*Rubber Hits the Road: Implementing Dodd-Frank amid Reform Fatigue*, Panel of the Section on Financial Institutions and Consumer Financial Services, Association of American Law Schools, Annual Meeting, Washington, D.C., Jan. 8, 2012.

*Dodd-Frank and Private Fund Regulation*, The Dodd-Frank Wall Street Reform and Consumer Protection Act Symposium, Gonzaga University School of Law, Spokane, Nov. 4, 2011.

*Corporations Beyond Corporate Law: The Failure of the Corporate Governance Paradigm in U.S. Investment Company Regulation*, Canadian Law and Economics Association, Annual Meeting, University of Toronto Faculty of Law, Sep. 23, 2011.

*Corporations Beyond Corporate Law: The Failure of the Corporate Governance Paradigm in U.S. Investment Company Regulation*, Midwestern Law and Economics Association, Annual Meeting, Indiana University Maurer School of Law, Sep. 16, 2011.

*Corporate Law at the Crossroads of Private and Public Law*, University of Queensland Symposium on Private and Public Law—Intersections in Law and Method, Brisbane, Australia, July 21-22, 2011.

*Multilateral Convergence in Investment Laws and Norms*, Asian International Economic Law Network 2011 Conference, University of Hong Kong, July 15-16, 2011.

*New Corporate Governance: Competing Values*, Discussant, Law and Society Association, 2011 Annual Meeting, San Francisco, June 3, 2011.

*Globalization of Law and Corporate Law*, Commentator, University of Washington School of Law Symposium on Global Law and Its Exceptions: Globalization, Legal Transplants, Local Reception and Resistance, Feb. 25, 2011.

*Institutionalization, Investment Adviser Regulation, and the Hedge Fund Problem*, University of Washington School of Law Faculty Colloquium, Feb. 24, 2011.

*Hedge Funds: Regulatory & Policy Overview*, Guest Lecture for Course on the Regulation of Financial Markets and Institutions, University of California, Davis School of Law, March 8, 2010.

*Preventing and Detecting Fraud* (with Angela M. Mitchell), NSCP West Coast Regional Meeting, Feb. 22, 2010.

*Moving Beyond the Clamor for “Hedge Fund Regulation”: A Reconsideration of “Client” under the Investment Advisers Act of 1940*, Villanova University School of Law Symposium on Financial Regulatory Reform: Genesis, Progress and Impact, Oct. 10, 2009.

*Moving Beyond the Clamor for “Hedge Fund Regulation”: A Reconsideration of “Client” under the Investment Advisers Act of 1940*, University of California, Hastings College of the Law Faculty Colloquium, Oct. 12, 2009.

*Hedge Funds: Regulatory Overview and Outlook*, Presentation to Summer LLM Program Participants, University of California, Berkeley School of Law, July 23, 2009.

*Critical Legal, Tax, Accounting, and Regulatory Updates* (with Anthony Hassan, Vincent Calcagno, and Geoff Haynes), Bay Area Hedge Fund Roundtable, May 6, 2009.

SELECTED PANELS AND PRESENTATIONS, cont.

*Alternative Investments: Legal Issues and Trends* (with Mark Whatley and Ben Berk), Howard Rice Panel Discussion, Apr. 16, 2009.

*Financial Crisis Panel Discussion* (with Professors Reuel Schiller and Joel Paul), University of California, Hastings College of the Law, Oct. 6, 2008.

*Hedge Funds* (with Peter M. Rosenblum), American Law Institute | American Bar Association Program, "Investment Management Products: Mutual Funds and Beyond," Washington, D.C., Nov. 2, 2007.

*Tax and Regulatory Issues Relating to Hedge Funds* (with Ben Berk and Gary P. Kaplan), Harb, Levy & Weiland Lunch Seminar, Mar. 11, 2007.

*Overview and Status of Regulatory Initiatives* (with William Mulligan), Infovest21 Seminar for Hedge Fund Professionals, Jan. 11, 2007.

OTHER PROFESSIONAL EXPERIENCE

HOWARD RICE, P.C. (now ARNOLD & PORTER, LLP), San Francisco, CA.

Advised and represented investment advisory firms on securities regulation, structuring hedge funds and private equity funds, and negotiating investment advisory arrangements. *Partner, Mar. 2007 – Feb. 2010; Associate, May 2002 – Feb. 2007.*

FOLEY HOAG, LLP, Boston, MA.

Counseled hedge fund managers and other investment advisers on securities regulatory matters. *Associate, Sept. 2000 – Apr. 2002.*

U.S. COURT OF APPEALS FOR THE FIRST CIRCUIT, Concord, NH.

Law clerk to Judge Norman H. Stahl, *Aug. 1997 – Aug. 1998.*

CRAVATH, SWAINE & MOORE LLP, New York, NY

Summer associate, *May 1996 – Aug. 1996.*

GRANTS AND AWARDS

Mark DeWolfe Howe Fellowship in Legal History and Civil Rights, Harvard Law School, 1998

Jacob K. Javits Fellowship (U.S. Department of Education), 1994

Reginald F. Lewis International Human Rights Fellowship, Harvard Law School, 1993

Fulbright Scholarship (University of Cologne, Cologne, Germany), 1991 – 1992

Kennedy Fellowship, Harvard Kennedy School of Government (declined), 1991

Phi Kappa Phi National Fellowship, 1991

Phi Beta Kappa, 1991

Outstanding Student in Political Science Scholarship Award, Kansas State University, 1991

Delehanty Award for Excellence in Economics, Kansas State University, 1991

Truman Scholarship Program National Finalist, 1989

KSU International Study Scholarship (Justus Liebig University, Giessen, Germany), Kansas State Univ., 1989

Foundation Scholarship, Kansas State University, 1987 – 1991

Dane G. Hansen Foundation Leader of Tomorrow Scholarship Award, 1987 – 1991